



# Introduction to large-scale Carbon Dioxide Removal (CDR) and Solar Radiation Modification (SRM) and key governance challenges

**Dr Arunabha Ghosh**  
CEO, CEEW

C2G Monthly Webinars

31 July 2020

# CEEW – Among Asia's leading policy research institutions



Energy Access



Renewables



Power Sector



Industrial Sustainability &  
Competitiveness



Low-Carbon Pathways



Risks & Adaptation



Technology, Finance & Trade



CEEW Centre for Energy Finance

# CEEW research & engagement on governance of climate altering approaches

21 June 2011 | Lima, Peru

**CEEW Lecture**

## International Cooperation and the Governance of Geoengineering

Keynote Lecture to the Expert Meeting on Geoengineering, Intergovernmental Panel on Climate Change

ARUNABHA GHOSH




CEEW Publications  
Thayer House  
12A, Jangpore  
New Delhi 110001  
India  
Tel: +91 11 42896710  
Fax: +91 11 37735217  
info@ceew.in



## ENVIRONMENTAL INSTITUTIONS, INTERNATIONAL RESEARCH PROGRAMMES, AND LESSONS FOR GEOENGINEERING RESEARCH

Arunabha Ghosh

March 2011 | Chicheley, United Kingdom

**CEEW Working Paper 2011/1**

### Does Geoengineering Need a Global Response – and of What Kind?

Working Paper of The Solar Radiation Management Governance Initiative

JASON BLACKSTOCK AND ARUNABHA GHOSH

Collaborators: Royal Society, UK Environmental Science and Policy Centre, Intergovernmental Panel on Climate Change, Intergovernmental Panel on Future of Energy and Clean Technology, Intergovernmental Panel on Climate Change, Intergovernmental Panel on Future of Energy and Clean Technology, Intergovernmental Panel on Climate Change, Intergovernmental Panel on Future of Energy and Clean Technology

CEEW Publications  
Thayer House  
12A, Jangpore  
New Delhi 110001  
India  
Tel: +91 11 42896710  
Fax: +91 11 37735217  
info@ceew.in




## Geoengineering: the need for governance

An Institute of the **CARNEGIE COUNCIL** for Ethics in International Affairs

COMMENT



### Developing countries must lead on solar geoengineering research

The nations that are most vulnerable to climate change must drive discussions of modelling, ethics and governance, argue A. Atriq Rahman and colleagues.

**Business Standard**

### Time for geoengineering governance?

INDUSTRIAL COUNTRIES BIG ON ETHICS, POLITICS; DEVELOPING COUNTRIES FOCUS ON ECONOMY

**REFLECTION POINTS**  
RABINDRANATH GUPTA

Climatic Change  
DOI 10.1007/s10584-017-1994-0

ESSAY

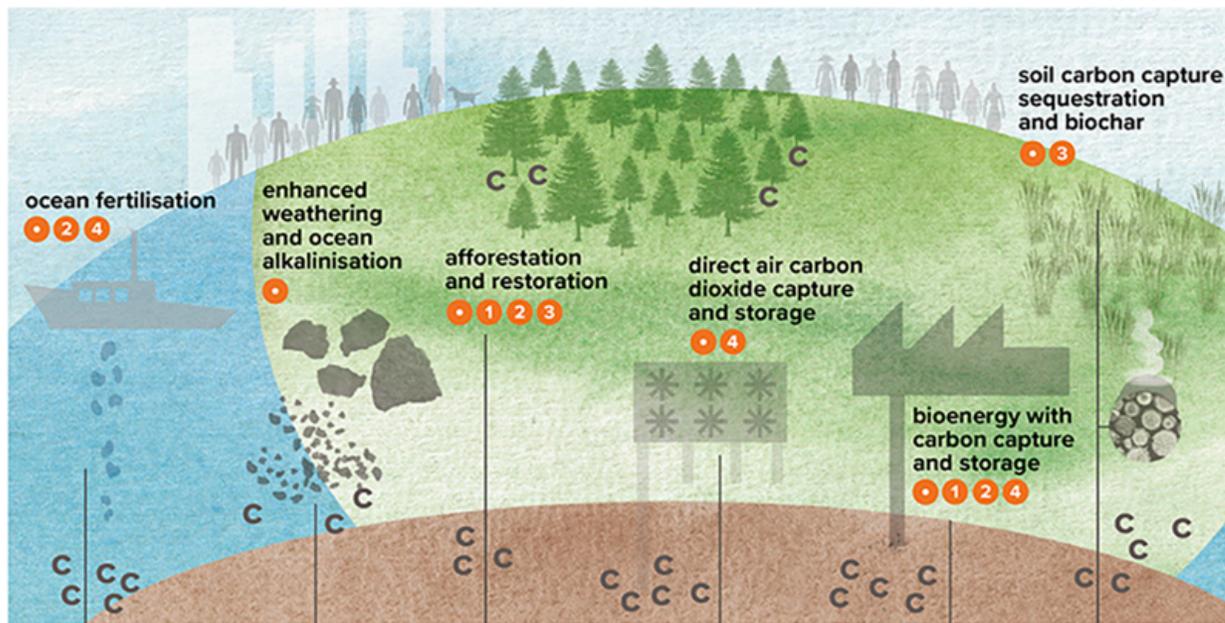
### The Asia-Pacific's role in the emerging solar geoengineering debate

Masahiro Sugiyama<sup>1</sup> • Shinichiro Asayama<sup>2</sup> • Atsushi Ishii<sup>3</sup> • Takanobu Kosugi<sup>4</sup> • John C. Moore<sup>5,6</sup> • Jolene Lin<sup>7</sup> • Penehuro F. Lefate<sup>8</sup> • Wil Burns<sup>9</sup> • Masatomo Fujiwara<sup>10</sup> • Arunabha Ghosh<sup>11</sup> • Joshua Horton<sup>12</sup> • Atsushi Kurosawa<sup>13</sup> • Andy Parker<sup>14</sup> • Michael Thompson<sup>15</sup> • Pak-Hang Wong<sup>16</sup> • Lili Xia<sup>17</sup>



# Climate-altering approaches include carbon dioxide removal.....

## Governing Carbon Dioxide Removal



Fertilising ocean ecosystems to accelerate phytoplankton growth, which partly sinks to transport carbon from atmosphere to seabed



Enhancing natural weathering of rocks by extracting, grinding, and dispersing carbon-binding minerals on land, or adding alkaline minerals to the ocean to increase carbon uptake



Planting forests and restoring ecosystems, for long-term carbon storage in above- and below-ground biomass



Using chemical process to capture CO<sub>2</sub> directly from ambient air; using or permanently storing the CO<sub>2</sub>



Burning biomass for energy generation capturing and permanently storing the resulting CO<sub>2</sub>



Burning biomass under low oxygen conditions, yielding charcoal "biochar" to add to soil and enhance soil carbon levels

### Shared Governance Challenges include:

- 1 Measurement and reporting;
- 2 Speed/scale issues;
- 3 Potential public concerns, including transparency of information, accountability, involvement in decisions;
- 4 Liability and compensation.

### Specific Governance Challenges include:

- 1 Managing the competition for land use and related impacts on the SDGs at domestic and transboundary levels;
- 2 Managing risks and potential implications for biodiversity;
- 3 Addressing permanence of CO<sub>2</sub> isolated from atmosphere;
- 4 High costs — land use, capital, deployment, energy — mean policy signals, e.g., price on carbon or other regulation, are needed.

**C2G**

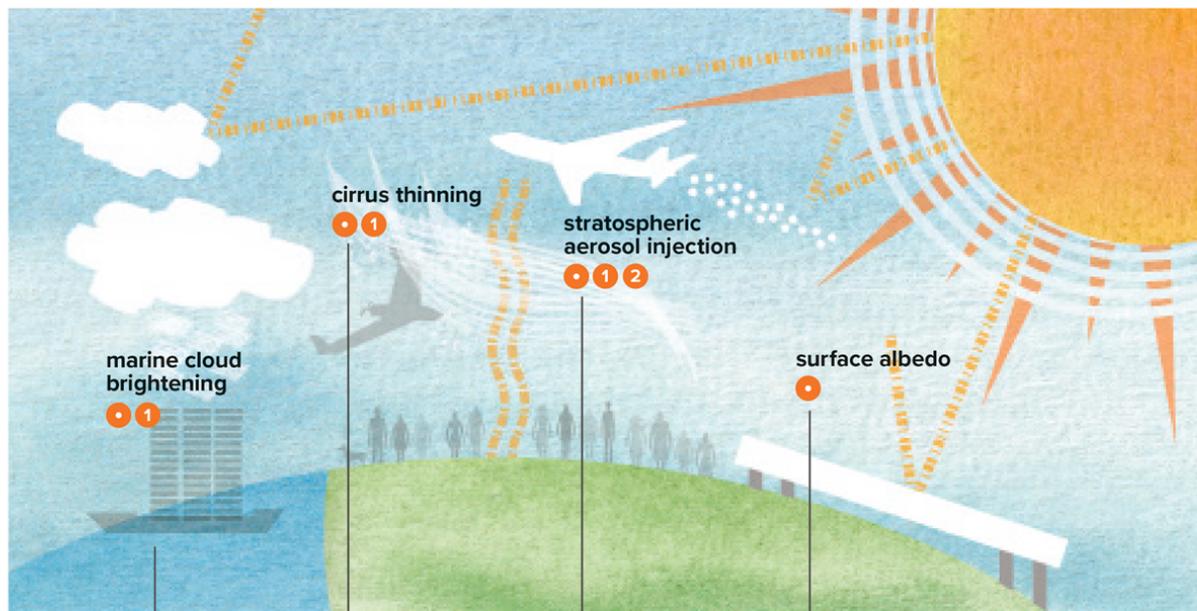
Carnegie Climate Governance Initiative

An initiative of  
**CARNEGIE COUNCIL** for Ethics in International Affairs

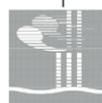
c2g2.net • contact@c2g2.net

5 November 2019

# Governing Solar Radiation Modification



Seeding clouds above ocean surfaces (such as with self-steering, autonomous ships) or whitening clouds above land to reflect sunlight back into space



Thinning cirrus clouds to allow more infrared radiation to escape from the Earth



Injecting reflective aerosol into the lower stratosphere to increase planetary albedo (reflectivity), and reduce temperatures



Making surfaces (such as urban areas, roads, agricultural land, grasslands, deserts, polar ice caps, or oceans) brighter to

## Shared Governance Challenges include:

- 1 Codes of conduct, guardrails and public policy direction for research;
- 2 Assessing the risks and potential benefits to sustainable development in a risk-risk framework;
- 3 Monitoring, attribution and management of risks and impacts;
- 4 Potential public concerns, including transparency of information, accountability, involvement in decisions;
- 5 Liability and compensation.

## Specific Governance Challenges include:

- 1 Globally legitimate decision-making on whether or not to research; to consider for use; to decide whether or not to deploy;
- 2 Institutional guarantees against premature termination.



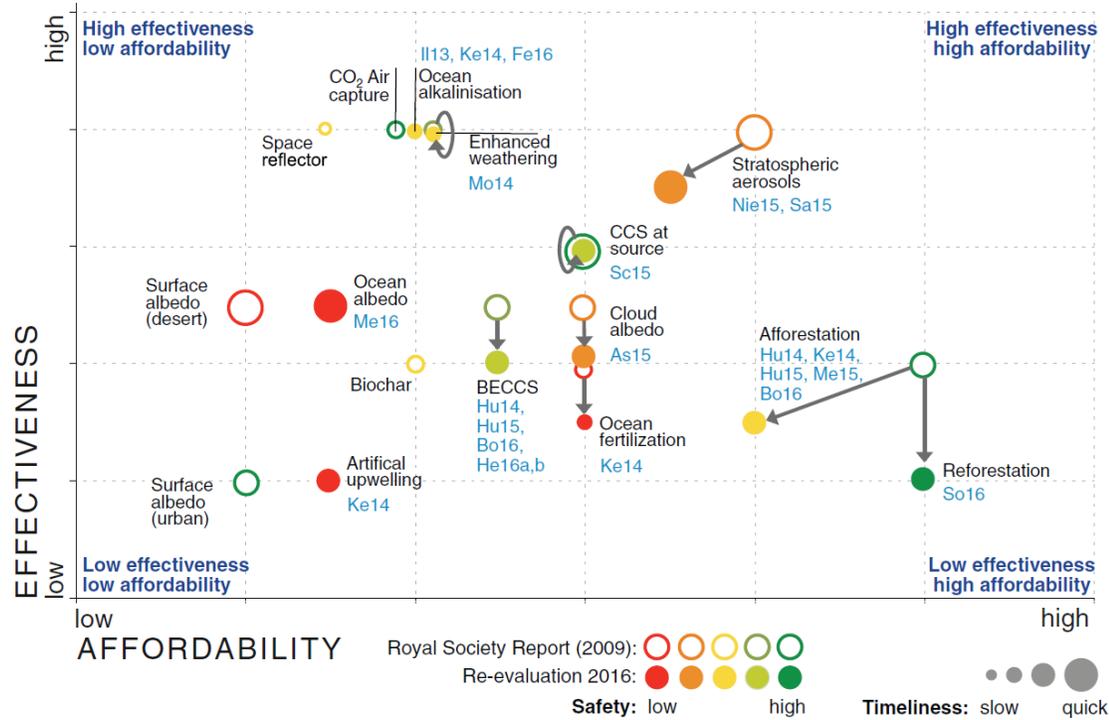
Carnegie Climate Governance Initiative

An initiative of  
**CARNEGIE COUNCIL** for Ethics in International Affairs

c2g2.net • contact@c2g2.net

Version 20191105

# Challenge 1: Evaluating options, but considering precautions

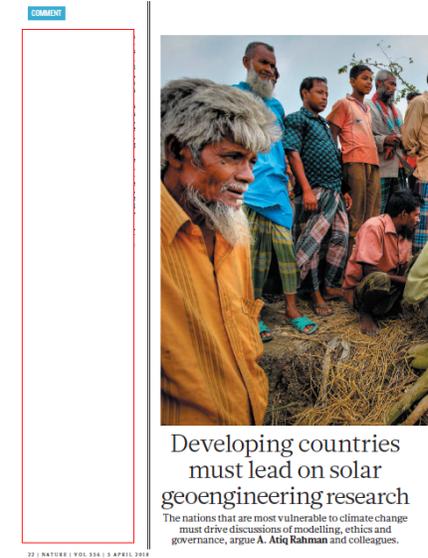


## Nature-based vs technological solutions

- Natural: afforestation, biochar
- Technological: accelerated weathering, direct air capture
- Bioenergy with Carbon Capture & Sequestration a combination of the two
- Other land use/ wetlands: less costly
- Restoration of degraded lands vs new land requirement
- CO<sub>2</sub> to durable carbon: more costly, more R&D needed

## Challenge 2: What risks? Material concerns centre on risks

- Lack of physics knowledge about aerosols in the stratosphere
- Loss of biodiversity, impacts on agriculture and ecosystems
- Rainfall and hydrological cycle
- Additional acid rain and snow
- Ozone
- Termination effect
- Risk of unilateral action
- Socio-political concerns
- Localised impacts: Developing Country Impacts Modelling Analysis for SRM (DECIMALS) project
- Technological race



## Challenge 2: What risks? Ethical concerns centre on intentions

- Opposition to interference with nature
- Opposition to commercial control and profiteering
- Moral Hazard: No or little action on climate mitigation
  - UNEA4: “not a substitute for emissions reduction”
- Free rider versus free driver
- Ascertain the intent behind research into climate altering technologies
  - **Can’t imagine change without imagining means and can’t imagine means without imagining motives**
- Demand a say over actions that have transborder impacts
- Intergenerational equity

Climatic Change  
DOI 10.1007/s10584-017-1994-0

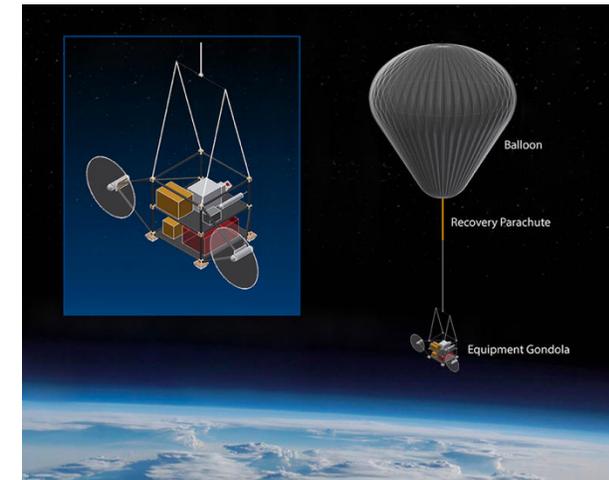
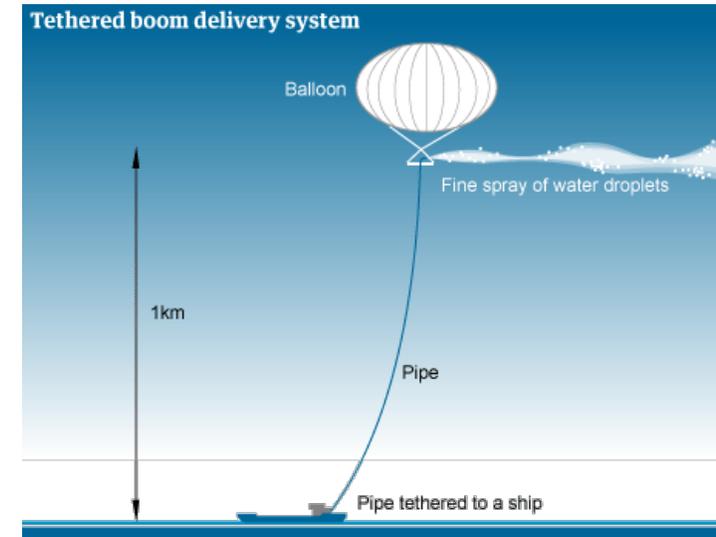
ESSAY

### The Asia-Pacific’s role in the emerging solar geoengineering debate

Masahiro Sugiyama<sup>1</sup>  • Shinichiro Asayama<sup>2</sup> • Atsushi Ishii<sup>3</sup> •  
Takanobu Kosugi<sup>4</sup> • John C. Moore<sup>5,6</sup> • Jolene Lin<sup>7</sup> •  
Penchuro F. Lefale<sup>8</sup> • Wil Burns<sup>9</sup> • Masatomo Fujiwara<sup>10</sup> •  
Arunabha Ghosh<sup>11</sup> • Joshua Horton<sup>12</sup> • Atsushi Kurosawa<sup>13</sup> •  
Andy Parker<sup>14</sup> • Michael Thompson<sup>15</sup> • Pak-Hang Wong<sup>16</sup> •  
Lili Xia<sup>17</sup>

## Challenge 3: Establishing thresholds for research and deployment

- Laboratory studies/computer modelling
  - Climate observations and inter-comparison modelling
- Small-scale field experiments
  - Experiments with aerosols
  - Cloud brightening
  - Any good to gather sufficient insight?
- Medium- to large-scale field experiments
  - Designing delivery mechanisms
  - How much sea-water spraying? How much SO<sub>2</sub> injection?
- Are large-scale experiments any different from deployment?
- Precautionary principle at each stage



# Challenge 4: Who could/would deploy?

## Why

- Last resort?
- First resort?
- Buy time?
- Localised emergency?

## Incentives

- Cost
- Signalling
- Technological lead
- No one else will help

## Who

- Scenario 1: Privately funded research
- Scenario 2: Small number of countries
- Scenario 3: Several countries collaborate
- Scenario 4: Large economy acts alone
- Scenario 5: Small island state/ coalition of vulnerable countries permit the use of territory

## Is national governance enough?

*Representation in SCoPEX Advisory Committee*



## Challenge 5: A thin layer of international governance

- Potentially applicable to **all climate altering methods**
  - ENMOD; UNFCCC
  - **CBD**: no climate-related geo-engineering activities that may affect biodiversity take place, until there is an adequate scientific basis (COP10); no single geoengineering approach that currently meets basic criteria for effectiveness, safety and affordability... (COP11); more transdisciplinary research and sharing of knowledge among appropriate institutions is needed (COP13)
- Potentially applicable to **specific methods**
  - London Convention/ London Protocol (ocean fertilisation); Montreal Protocol (aerosols); MARPOL (marine cloud brightening); Outer Space Treaty (solar arrays)
- Potentially applicable to **activities** within or impacting upon specific method
  - UNCLOS
- Potentially applicable to **specific substances**
  - Sulphates: IMO, CLRTAP, Montreal Protocol; Space Mirrors: Outer Space Treaty
- Potentially applicable over **geographical or spatial** limitations
  - CLRTAP limited to Europe/N. America; IMO (LC/LP); Outer Space Treaty
- Which functions to assign to these institutions?

# Choose from at least four governance routes

## Ad hoc principles and codes of conduct

Flexibility, speed, stakeholder-led

VS

Who decides, conflict of interest, lack of public control, future options constrained

## National

Sovereignty, speed, enforcement

VS

No international monitoring or dispute resolution, legal uncertainties

## Adapting existing treaties

Speed, flexibility, legitimacy

VS

Overburdened agendas, lack of expertise, complicated process, enforcement

## Creating new treaties and/or organisations

Fill regulatory gaps, functional division, soft law

VS

Time lag, regime complex and incoherence across institutions

- ***We are willing to contemplate technology interventions on a planetary scale but not human interactions on a planetary scale.***

## Challenge 6: Transparency to reduce risk and build public trust

- Transparency is a common principle
- Transparency about research idea and methodology
- Transparency about outdoor experimentation
- Transparency about funding of research
- Transparency about research outputs and impact assessment
- **Overall, resistance to assess science, actors and activities, frameworks for research and governance (UNEA4 resolution failed to pass in 2019)**

# Who would do what for *de minimis* transparency?

- Self-reporting
  - Announcing national positions: Germany (2018); UK (2018)
  - Mandatory reporting: Disclosure under Cartagena Protocol on Biosafety
- Peer review and consultations
  - 1998 Aarhus Convention extends principles of transparency and accountability beyond the nation-state
  - But limited to affected population
- National/ regional research platforms
- International assessments
  - Role of non-state actors (C2G, SRMGI, CEEW, FCEA)
- Bottom-up monitoring, top-down enforcement?

# Challenge 7: Designing international research programmes

- **Research capacity**
  - 19 climate modeling groups have simulated climate response to reduced insolation under GeoMIP
  - Localised research
  - Research on ethical, legal, social and political issues
- **Flexible funding**
  - In-kind support: staff, material inputs, institutional resources
  - CGIAR Fund, 2009: to balance donors and researchers
- **Responsibility & liability**
  - Explicit clauses when research creates international institutions e.g. CERN
  - Flexible options: European initiative for Implementing Geological Disposal of Radioactive Waste Technology Platform
- **Intellectual property & access to data**
  - Human Genome Programme; Bermuda Principles: data released within 24 hours
  - CERN: tighter rules but “open science” model; dissemination takes precedence over revenues
  - ITER: royalty-free access to other members
- **Cooperation & institutional design**
  - Voluntary or formal agreement
  - Scope, thresholds and rules
  - Transparency: codes of conduct; self-report; independent review



***Climate altering approaches occupy both a rarefied world of climate science as well as the messy world of geopolitics.***

*Currently, we have no means to legitimately weigh the risks of not acting against climate emergencies against the risks entailed in deploying controversial climate altering methods.*

***This is not a mere technical debate; it is high politics. We have no means today to govern this uncertainty.***

**Thank you**

ceew.in | @CEEWIndia